

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 27, 2016

Payment Due Date: June 27, 2016

MSA File Number:	2016-129	Specified Penalty Amount:	\$ 500
Market Participant Name:	Acciona Wind Energy Canada Inc., Suncor Energy Products Inc., Enbridge Wind Power General Partnership		
Asset ID (if applicable):	SCR3	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	502.8	Date of Contravention:	December 9, 2015
Date of Referral/Self Report:	April 27, 2016	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On December 9, 2015 at 14:21, the SCR3 generating asset received a wind power limited pro rata share directive for 3.79 MW however SCR3 continued to generate above the directive limit until the directive was removed at 14:41. Information available to the MSA indicated that inadequate maintenance of the communication path as per the AESO SCADA Standard led to the failure in this case. ISO rule 502.8 indicates that a transmission facility with a functional specification issued by the ISO prior to February 28, 2013 must remain in compliance with that functional specification, including all of the standards and requirements set out in that functional specification, including the AESO SCADA Standard.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 502.8.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation, energy dispatch, available capability, and wind power limit pro rata share directive data for SCR3 for December 9, 2015.
2. AESO information request issued to Acciona Wind Energy Canada Inc. dated February 26, 2016.
3. Acciona Wind Energy Canada Inc.'s response to the AESO information request dated March 25, 2016.
4. Referral from the AESO to the MSA dated April 27, 2016.
5. Additional information from Acciona Wind Energy Canada Inc. to the MSA dated May 12, 2016.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	May 27, 2016
Name:	Doug Doll	Title:	Director Corporate Services and Compliance